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PTO/SB/05 (12/97)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<p align="center">UTILITY PATENT APPLICATION TRANSMITTAL</p> <p align="center">(Only for new nonprovisional applications under 37 CFR 1.53(b))</p>	<p>Attorney Docket No.: PDGM-3</p> <p>First Named Inventor: Evans <i>et al.</i></p> <p>Title: Grating-Outcoupled Surface-Emitting Lasers</p> <p>Express Mail Label No. <u>EL686118089US</u></p>
<p align="center">APPLICATION ELEMENTS</p> <p align="center">See MPEP Chapter 600 concerning utility patent application contents</p>	<p>ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</p>
<p>1. <u> </u> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2. <u>X</u> Specification Total pages <u>38</u> (preferred arrangement set forth below)</p> <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R&D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure <p>3. <u>X</u> Drawing(s) (35USC d113) Total pages <u>22</u></p> <p>4. Oath of Declaration <u>Unsigned</u> Total pages <u>2</u></p> <p>a. <u> </u> Newly Executed (original or copy)</p> <p>b. <u> </u> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)</p> <p>i. <u> </u> Deletion of inventor(s) (Signed statement attached deleting inventor(s) named in the prior application, see 37, C.F.R. 1.63(d)(2) and 1.33(b).)</p>	<p>5. <u>X</u> Incorporation by Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. <u> </u> Microfiche Computer Program (Appendix)</p> <p>7. <u> </u> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none">a. <u> </u> Computer Readable Copyb. <u> </u> Paper Copy (identical to computer copy)c. <u> </u> Statement verifying identity of above copies <p>8. <u> </u> Assignment Papers (cover sheet & Documents(s))</p> <p>9. <u> </u> 37 CFR §3.73(b) Statement (when there is an assignee)</p> <p><u> </u> Power of Attorney</p> <p>10. <u> </u> English Translation Document (if applicable)</p> <p>11. <u> </u> Information Disclosure Statement (IDS)/PTO-1449</p> <p><u> </u> Copies of IDS Citations</p> <p>12. <u> </u> Preliminary Amendment</p> <p>13. <u>X</u> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14. <u> </u> *Small Entity Statement(s) (PTO/SB/09-12) <u> </u> Statement filed in prior application -Status still proper and desired</p> <p>15. <u> </u> Certified Copy of Priority Document(s) .</p> <p>16. <u> </u> Other:</p> <p>* A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.</p>
<p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:</p> <p><u> </u> Continuation <u> </u> Divisional <u>X</u> Continuation-in-part (CIP) of prior application No: 60/200,603 filed 4/28/00; 60/200,454 filed 4/28/00; 60/209,822 filed 6/6/00; and 60/230,534 filed 9/1/00; and 60/235,090 filed 9/25/00.</p> <p>Prior application information: Examiner: Group / Art Unit:</p>	
<p>18. CORRESPONDENCE ADDRESS: Name: Groover & Associates p.c. Address: 17000 Preston Road, Suite 230, Dallas, Texas 75248 (Dallas County) Phone: (972) 380-6333, fax (972) 380-4445</p>	
<p>Signature <u>Patrick Holmes</u> Patrick Holmes, Reg.No.46,380, Date: April 27, 2001</p>	

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.: PDGM-3	
		First Named Inventor: Evans <i>et al.</i>	
		Title: Grating-Outcoupled Surface-Emitting Lasers	
		Express Mail Label No. <u>EL68611805945</u>	
APPLICATION ELEMENTS See MPEP Chapter 600 concerning utility patent application contents		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
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